

# A stereochemical approach to the Kabachnik–Fields reaction mechanism

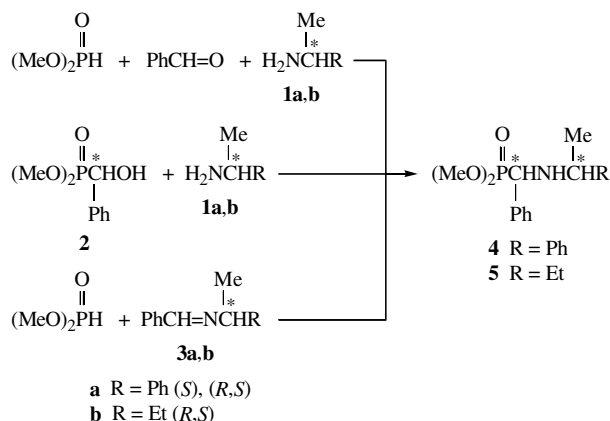
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A comparison of the diastereomeric composition of Kabachnik–Fields reaction products with those of two reactions simulating its stereo-controlling steps showed that only the ‘imine’ mechanism works in the  $(\text{MeO})_2\text{P}(\text{O})\text{H}$ – $\text{PhCHO}$ – $[(S),(R,S)\text{-H}_2\text{NCH}(\text{Ph})\text{Me}]$  system, like in the phosphite–imine system; in a similar system containing  $(R,S)\text{-sec}$ -butylamine, additional ‘nucleophilic amination’ of the initially formed  $\alpha$ -hydroxybenzyl phosphonate occurs.

The Kabachnik–Fields reaction (KFR) is important for the synthesis of  $\alpha$ -aminoalkyl phosphonates, which possess useful properties.<sup>1</sup> Although the synthetic potential of the KFR is employed successfully, its mechanism is poorly known. We performed the first comparative study of the stereochemical result (*de*) of the KFR in the  $(\text{MeO})_2\text{P}(\text{O})\text{H}$ – $\text{PhCHO}$ –chiral amines **1a,b** system and of two-component reactions simulating separate stereo-controlling steps in a three-component system, viz., the ‘nucleophilic amination’ of  $\alpha$ -hydroxybenzyl dimethyl phosphonate **2** with amines **1a,b** and the addition of  $(\text{MeO})_2\text{P}(\text{O})\text{H}$  to chiral imines **3a,b** (the Pudovik reaction) (Scheme 1). The expected products of all the three directions are  $\alpha$ -aminobenzyl phosphonates **4** and **5**, each being a mixture of two diastereomers **A** and **B**. To ensure the correct comparison of the results, all the reactions were carried out under identical conditions.



According to  $^{31}\text{P}$   $\{^1\text{H}\}$  NMR spectra of the reaction mixtures, the KFR in both systems (reagent ratio of 1:1:1; boiling benzene; 2 h; water removal by azeotropic distillation) results in three phosphorus-containing products [amine **1a**:  $\delta_{\text{P}}$  23.5 (**2**), 25.4 (**4A**), 25.8 (**4B**) in the ratio 2.70:1:3.75 (*S*-amine), 2.34:1:3.21 (*R,S*-amine), respectively; amine **1b**:  $\delta_{\text{P}}$  23.50 (**2**), 25.15 (**5A**), 25.19 (**5B**) in the ratio 1 (**2**) : 2.17 (**5A** + **5B**)]. In the case of amine **1a**, the subsequent refluxing of the reaction mixture does not change the number of phosphorus-containing products or the intensity ratio of their signals in the  $^{31}\text{P}$  NMR spectra. However, in the case of amine **1b**, the intensity of the signal with  $\delta_{\text{P}}$  23.5 in the  $^{31}\text{P}$   $\{^1\text{H}\}$  NMR spectrum under similar conditions decreased gradually and almost disappeared after 4 h.

Column chromatography in the  $\text{C}_6\text{H}_6$ – $\text{MeOH}$  (5:1) (amine **1a**) and  $\text{C}_6\text{H}_6$ –diethyl ether (1:1) (amine **1b**) systems gave pure products of both KFRs. The structures of compounds **2**, **4A**, **4B**, **5A** and **5B** were established by  $^1\text{H}$  NMR.<sup>†</sup> The absolute configuration (*R*) of the carbon atom at the  $\alpha$ -position with respect to the phosphorus atom in **4B** (from optically pure **1a**) was determined by X-ray diffraction analysis<sup>‡</sup> in coordinates of the second chiral centre at the C(5) atom, which is known to have (*S*) configuration.

The  $^1\text{H}$  NMR spectra allowed us to determine the diastereomer ratio **5A/5B** based on a comparison of the integral intensities of two doublets of the HCP proton. This ratio is 1.19:1 for the completed KFR with amine **1b**.

Pudovik reactions in the  $(\text{MeO})_2\text{P}(\text{O})\text{H}$ –chiral imines **3a,b** system carried out under the same conditions as the KFR give compounds **4A** and **4B** in the ratios 1:4.12 (**3a** from enantiopure **1a**) and 1:3.93 (**3a** from racemic **1a**) ( $^{31}\text{P}$  NMR) and also **5A** and **5B** in the ratio 1.52:1 ( $^1\text{H}$  NMR). Furthermore, according to  $^{31}\text{P}$  NMR data, prolonged refluxing (longer than 4 h) of a mixture of compound **2** with amine **1a** in benzene under KFR conditions does not yield compound **4** in amounts that can be detected spectroscopically. On the contrary, the model reaction of compound **2** with amine **1b** under KFR conditions took place: the signal of compound **2** in the  $^{31}\text{P}$  NMR spectrum almost disappeared after 8 h. The region around  $\delta$  25 displays

<sup>†</sup> **2**: mp 101 °C (lit.,<sup>2</sup> 102 °C).  $^1\text{H}$  NMR [Bruker WM-250, 250 MHz,  $(\text{CD}_3)_2\text{CO}$ , TMS]  $\delta$ : 3.66 (d, 3H, MeO,  $^3J_{\text{HP}}$  10.6 Hz), 3.71 (d, 3H, MeO,  $^3J_{\text{HP}}$  10.6 Hz), 5.13 (d, 1H, HCP,  $^2J_{\text{HP}}$  12.9 Hz), 7.32–7.58 (m, 5H, Ph).

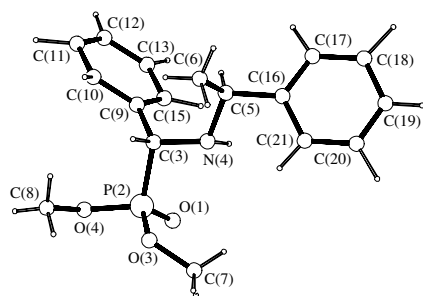
**4A** (from a **4A/4B** mixture, 3:1):  $^1\text{H}$  NMR,  $\delta$ : 1.36 (d, 3H, MeC,  $^3J_{\text{HH}}$  6.4 Hz), 2.54 (s, 1H, NH), 3.47 (d, 3H, MeOP,  $^3J_{\text{HP}}$  10.4 Hz), 3.77 (q, 1H, HCP,  $^3J_{\text{HH}}$  6.4 Hz), 3.81 (d, 1H, HCP,  $^2J_{\text{HP}}$  20.0 Hz), 3.83 (d, 3H, MeOP,  $^3J_{\text{HP}}$  10.4 Hz), 7.25–7.42 (m, 5H, Ph).

**4B**: mp 96.5–97.5 °C,  $[\alpha]_{\text{D}}^{20}$  –15.5° (c 3.4,  $\text{C}_6\text{H}_6$ ).  $^1\text{H}$  NMR (Bruker WM-250, 250 MHz,  $\text{CD}_3\text{CN}$ , TMS)  $\delta$ : 1.35 (d, 3H, MeC,  $^3J_{\text{HH}}$  6.4 Hz), 2.52 (s, 1H, NH), 3.55 (d, 3H, MeOP,  $^3J_{\text{HP}}$  10.4 Hz), 3.79 (d, 3H, MeOP,  $^3J_{\text{HP}}$  10.4 Hz), 3.86 (q, 1H, HCP,  $^3J_{\text{HH}}$  6.4 Hz), 4.21 (d, 1H, HCP,  $^2J_{\text{HP}}$  20.2 Hz), 7.27–7.39 (m, 5H, Ph).

Mixture **5A** and **5B** (1.5:1):  $n_{\text{D}}^{20}$  1.7138.  $^1\text{H}$  NMR (Bruker WM-250, 250 MHz,  $\text{CCl}_4$ , TMS)  $\delta$ : 0.85 (t, 3H, MeCCN,  $^3J_{\text{HH}}$  7.2 Hz), 0.88 (t, 3H, MeCCN,  $^3J_{\text{HH}}$  7.2 Hz), 0.98 (d, 3H, MeCN,  $^3J_{\text{HH}}$  5.8 Hz), 1.36 (m, 2H,  $\text{CH}_2\text{CN}$ ), 2.07 (m, 1H, NH), 2.38 (m, 1H, CCHN), 2.51 (m, 1H, CCHN), 3.41 (d, 3H, MeO,  $^3J_{\text{HP}}$  10.7 Hz), 3.75 (d, 3H, MeOP,  $^3J_{\text{HP}}$  10.7 Hz), 4.06 (d, 1H, HCP,  $^2J_{\text{HP}}$  22.5 Hz), 4.12 (d, 1H, H'CP,  $^2J_{\text{HP}}$  22.3 Hz), 7.25–7.41 (m, 5H, Ph).

<sup>‡</sup> X-Ray diffraction study of compound **4B**. Crystal of **4B**,  $\text{C}_{17}\text{H}_{25}\text{NO}_3\text{P}$ , monoclinic, space group  $P2_1$ . At 20 °C  $a = 10.362(2)$  Å,  $b = 5.9637(4)$  Å,  $c = 13.860(5)$  Å,  $\beta = 95.08(2)^\circ$ ,  $V = 853.1(4)$  Å<sup>3</sup>,  $Z = 2$ ,  $M = 319.34$ ,  $d_{\text{calc}} = 1.24$  g cm<sup>–3</sup>,  $\mu(\text{Cu}) = 15.16$  cm<sup>–1</sup>,  $F(000) = 340$ . The intensities of 1993 reflections were measured on an Enraf-Nonius CAD-4 diffractometer at 20 °C [ $\lambda(\text{CuK}\alpha)$  irradiation,  $\omega/2\theta$ -scanning,  $2\theta_{\text{max}} = 148^\circ$ ]; of these, 1650 reflections with  $I \geq 3\sigma$  were observed. The structure was solved by the direct method using the SIR program<sup>3</sup> from the MolEN software package.<sup>4</sup> The structure was refined by a full-matrix least-squares method in an anisotropic approximation; all hydrogen atoms were located by different synthesis and refined isotropically in final least-squares iterations. The absolute crystal structure and absolute molecule configuration were determined by the Hamilton test<sup>5</sup> with 95% probability. The final divergence factors are  $R = 0.049$ ,  $R_w = 0.075$  based on 1608 reflections with  $F^2 \geq 3\sigma$ .

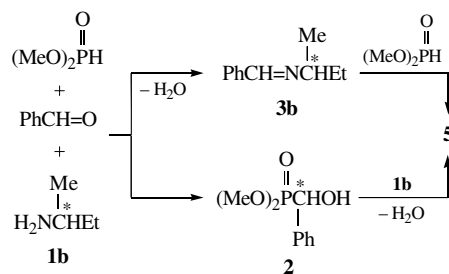
Atomic coordinates, bond lengths, bond angles and thermal parameters have been deposited at the Cambridge Crystallographic Data Centre (CCDC). These data can be obtained free of charge via [www.ccdc.cam.ac.uk/conts/retrieving.html](http://www.ccdc.cam.ac.uk/conts/retrieving.html) (or from the CCDC, 12 Union Road, Cambridge CB2 1EZ, UK; fax: +44 1223 336 033; or [deposit@ccdc.cam.ac.uk](mailto:deposit@ccdc.cam.ac.uk)). Any request to the CCDC for data should quote the full literature citation and CCDC reference number 213979. For details, see ‘Notice to Authors’, *Mendeleev Commun.*, Issue 1, 2003.



**Figure 1** Molecular geometry of **4B** in a crystal. Selected bond lengths (Å): P(2)–O(1) 1.470(4), P(2)–O(3) 1.574(3), P(2)–O(4) 1.558(3), P(2)–C(3) 1.805(5), O(3)–C(7) 1.444(9), O(4)–C(8) 1.438(9), N(4)–C(3) 1.486(6), N(4)–C(5) 1.466(7), C(3)–C(9) 1.519(6), C(5)–C(6) 1.513(9), C(5)–C(16) 1.518(7); selected bond angles (°): O(1)–P(2)–O(3) 114.3(2), O(1)–P(2)–O(4) 113.2(2), O(1)–P(2)–C(3) 112.5(2), O(3)–P(2)–O(4) 103.3(2), O(3)–P(2)–C(3) 106.4(2), O(4)–P(2)–C(3) 106.3(2), P(2)–O(3)–C(7) 119.9(4), P(2)–O(4)–C(8) 123.3(4), C(3)–N(4)–C(5) 115.3(4), P(2)–C(3)–N(4) 105.2(3), P(2)–C(3)–C(9) 111.3(3), N(4)–C(3)–C(9) 116.9(4), N(4)–C(5)–C(6) 111.2(4), N(4)–C(5)–C(16) 108.8(4), C(6)–C(5)–C(16) 110.7(4).

signals corresponding to compounds **5A** and **5B** in the ratio 1:1.59. Note that, first, this reaction results in some prevalence of diastereomer **5B**, and second, the stereoselectivity of the Pudovik reaction is noticeably higher than that of the KFR.

A comparison of the stereochemical results of the three reactions in each of the two reaction series allowed us to make the conclusions given below. The KFR with amine **1a** in boiling benzene occurs *via* the addition of  $(\text{MeO})_2\text{P}(\text{O})\text{H}$  to imine **3a**, which is initially formed from PhCHO and amine **1a** ('imine' mechanism). The basicity of the amine is sufficient to catalyse the addition of  $(\text{MeO})_2\text{P}(\text{O})\text{H}$  to PhCHO to give compound **2**; however, the thermodynamic stability of **2** under the reaction conditions and its low reactivity in the 'nucleophilic amination' step make compound **2** a by-product. The KFR with amine **1b** mainly occurs through the initial formation of imine **3b** from PhCHO and amine **1b**, which then enters the Pudovik reaction with  $(\text{MeO})_2\text{P}(\text{O})\text{H}$  ('imine' mechanism) (Scheme 2). On the other hand, the overall result of the KFR is noticeably affected



**Scheme 2**

by the initial formation of compound **2** from PhCHO and  $(\text{MeO})_2\text{P}(\text{O})\text{H}$ . Its subsequent amination with amine **1b** results in the KFR product **5**.

Thus, depending on the nature of reagents, the KFR can involve either one of the known mechanisms exclusively or two alternative mechanisms simultaneously.

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